

NONPROVISIONAL  
PATENT APPLICATION

SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE  
RECORDS MANIPULATION

To: Box Patent Application  
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Washington, D.C. 20231

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1. A nonprovisional patent application. The application contains 32 (thirty two) pages (including 3 (three) pages of claims and 1 (one) page of abstract), 11 (eleven) pages of drawings, and a cover sheet.
2. A declaration.
3. A verified statement claiming small entity status.
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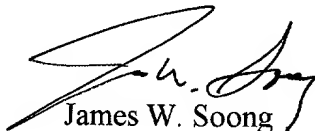
Please send me a filing receipt at your earliest convenience.

Please direct all correspondence to:

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Redondo Beach, CA 90277  
Telephone: 650.592.8502

Thank you very much.

Respectfully submitted,

  
James W. Soong

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By: 

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: James W. Soong  
Application or Patent No.:  
Filed or Issued: February 7, 2000  
Title: SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE RECORDS MANIPULATION

I hereby declare that I am:

☒ the owner of the small business concern identified below:

Name of Small Business Concern: James W. Soong  
Address of Small Business Concern: 521 Susana Ave.  
Redondo Beach, California 90277

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE RECORDS MANIPULATION by inventor(s) described in:

☒ the specification filed herewith;  
☐ Application No. \_\_\_\_\_;  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name: \_\_\_\_\_  
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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Date: 2/6/00

**NONPROVISIONAL  
PATENT APPLICATION**

**SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE  
RECORDS MANIPULATION**

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# SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE RECORDS MANIPULATION

## FIELD OF THE INVENTION

The present invention relates to the home health care industry and, in particular, to manipulation and handling of health care records to enhance patient care.

## BACKGROUND

Health care providers perform myriad services in the treatment of the ill. The performance of such services is often documented in varying degrees of detail to serve various purposes. The condition and response of patients who receive health care services are also documented. For example, records are often kept in regard to each instance that service is rendered so that the course of treatment for the patient can be readily accessible if and when needed to, for example, assess the history and effectiveness of the treatment. As another example, health care professionals will periodically document the health condition of patients to gauge their progress under medical supervision or to simply assess their overall general health. As still another example, records are kept for legal purposes so that the health care provider can document that patients in their care have received proper care. There are other motivations for documenting the treatment of patients by health care providers.

The precise method of documenting rendered health care services and condition of patients during the course of treatment of patients is similar in many respects from one health care industry to the next. However, considerations of convention and necessity particular to each industry cause differences in the creation and maintenance of medical records. The home health care industry is an example.

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The home health care industry plays a vital role in the treatment of persons who receive care at home or some other non-institutional setting. Typically, a nurse or other qualified health care professional will visit a patient in her home to provide some degree of care and assessment. Each visit will prompt the nurse to enter a description of services rendered for the patient, a description of the condition of the patient, and any other observations or determinations that, if documented, would potentially benefit the patient's welfare or serve some other constructive purpose. Descriptions or determinations of this kind can often serve as medical or health records of the patient.

Conventionally, access to health records has been traditionally limited. For example, too often the health care professional who renders care for the patient creates a record and retains the record without sharing the record with the home health care agency for whom the professional works. Such exclusive retention can cause administrative and accounting difficulties for an agency. Furthermore, such exclusive retention may preclude the patient, or other interested individual, from obtaining a comprehensive account of the patient's health history. Such preclusion may result in various drawbacks, varying from inconvenience to the patient, or home health care agency, to harm to the patient's welfare. It will be appreciated that an innovative technique to allow ready, yet secure, access to a patient's care history is needed.

#### SUMMARY OF THE INVENTION

The present invention solves problems associated with the prior art.

In accordance with one aspect of the present invention, a method and system for for manipulating and handling records over a remote communications network is provided. The communications network links together a communication device and a

site computer having a database. The database can store the records. Inputs to the communication device allow manipulation of the records.

In accordance with another aspect of the present invention, inputs to the communication device can manipulate the records of the site computer and/or database. The records can be created, modified, displayed, or organized.

In accordance with yet another aspect of the present invention, a particular record is created by prompting provision of desired information associated with various fields into the communication device. The desired information is provided to the site computer and stored in the database as a record.

In accordance with yet still another aspect of the present invention, the records are deconstructed into information associated with component fields of the records. The records are organized according to the information associated with the component fields.

In accordance with still other aspects of the present invention, a threshold event is defined. The site computer or the database is programmed to identify the threshold event. The records capable of having information regarding satisfaction of the threshold event are analyzed. Predetermined notifications are provided upon satisfaction of the threshold event.

In accordance with still further aspects of the present invention, a person interested in a particular record is identified. The site computer or the database is programmed with information regarding the interested person and the particular record. A determination is made whether the particular record has been received by the site computer. The particular record is automatically transmitted to the interested person when the particular record has been received by the site computer.

In accordance with still other aspects of the present invention, the records are health care records.

These and various other embodiments of the present invention, as well as the advantages and features of all of its many embodiments, are described in more detail in conjunction with the text below and attached figures.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a network linking communication devices in accordance with one of many embodiments of the present invention;

Figure 1A shows a network linking communication devices in accordance with another of many embodiments of the present invention;

Figure 2 shows a computer as an example of a communication device in accordance with one of many embodiments of the present invention;

Figure 3 shows a login ID web page in accordance with one of many embodiments of the present invention;

Figure 4 shows a menu page in accordance with one of many embodiments of the present invention;

Figure 5 shows a record page in accordance with one of many embodiments of the present invention;

Figure 6 shows a file history in accordance with one of many embodiments of the present invention;

Figure 7 shows a flow diagram of record access provision in accordance with one of many embodiments of the present invention;

Figure 8 shows a flow diagram of notification upon threshold satisfaction in accordance with one of many embodiments of the present invention;

Figure 9 shows a flow diagram of accounting notification in accordance with one of many embodiments of the present invention; and

Figure 10 shows a flow diagram of automatic record provision in accordance with one of many embodiments of the present invention.

#### DETAILED DESCRIPTION OF THE SPECIFIC EMBODIMENTS

In accordance with the present invention, a system and method for selective record creation and access allows for secure and convenient creation of, access to, modification of, manipulation and handling of, and transmission of records over a communications network. The present invention will be described in connection with and is particularly suited to records of a patient's health history during the rendering of home health care for the patient. However, it will be appreciated that the present invention can be used in other contexts as well. For example, the present invention would apply equally to medical or health records of a patient who receives other kinds of care besides home health care. In this regard, the invention applies also to, for example, hospital, institutional, clinical, or any other kind of medical, health, psychiatric, psychological, or other kind of care. Furthermore, the present invention applies to contexts completely outside the health care industry altogether. In this regard, the present invention applies to records kept, maintained, or organized during any process that involves creating records of the status and progress of the process.

Figure 1 illustrates electronic communication devices 103, 105, 107, and 109 linked over a network 99 to create, transmit, and receive electronic messages, as well as provide



data to and receive data from a local or remote database. Any one of the communication devices 101, 103, 105, and 109 may be a computer, Internet appliance, personal digital assistant (PDA), cellular or mobile phone, set top box, and the like, and any other devices that can receive and transmit information, or provide the capability to access, provide, receive, and modify information to and from a database over wired or wireless networks (hereinafter "devices"). Figure 2 illustrates a computer as one of many possible exemplary electronic devices shown in Figure 1. For ease of discussion and illustration, and to reduce unnecessary redundancy, only computers will exemplarily discussed in connection with the present invention as the electronic devices 103, 105, 107 and 109. However, it should be manifestly clear that the present invention applies equally to other devices capable of sending and receiving information in electronic and other formats facilitating remote communications, although their operation may not be explicitly discussed. The network 99 could be any kind of communications network. The network could be a local area network or a wide area network and could be a private or public network of any size or scope. In one embodiment of the present invention, the network 99 is the Internet. The computers, as examples of communication devices 103, 105, 107, and 109 may be linked to computer modems to support communications using protocols such as TCP/IP (Transmission Control Protocol/Internet Protocol) or SLIP/PPP (Serial Link IP/Point-to-Point Protocol). As will be appreciated by those of ordinary skill in the art, other interactive communications media are possible as well. For example, the network 99 can include interactive television networks, telephone networks, wireless data transmission systems, two-way cable systems, customized computer networks, interactive kiosk networks, automatic teller machine networks, and the like, or combinations thereof.

Figure 2 illustrates basic subsystems of a computer system 10 suitable to implement the communication devices 103, 105, 107, 109 illustrated in Figure 1. The computer system 200 includes a bus 212 that interconnects major subsystems such as a central processor 214, a system memory 216, and external devices such as a display screen 220 via a display adapter 222, a printer 224 via a parallel port 226, a mouse 228 and a keyboard 230 via an input/output (I/O) controller 232, a fixed disk drive 234, and a CD-ROM player 236 via a host adapter 238, a network interface card 240, and a floppy disk drive 242 operative to receive a floppy disk 244.

Many other devices or subsystems (not shown) can be connected, such as a scanning device, a touch screen, and others. Also, it is not necessary for all of the devices show in Figure 2 to be present to practice the present invention. Furthermore, the devices and subsystems may be interconnected in different ways from that shown in Figure 2. The operation of a computer system such as that shown in Figure 2 is readily known in the art and is not discussed in detail here. Source code to implement some embodiments of the present invention, as discussed in more detail below, may be operatively disposed in system memory 216 or stored on storage media such as fixed disk drive 234, floppy disk 244, or a CD-ROM 246 that is operative with the CD-ROM player 236.

In accordance with the present invention, as exemplarily discussed in connection with the home health care industry, the functionality of the present invention allows for various kinds of manipulation and handling of health records of a patient. The health records can be created, stored, maintained, modified, accessed, organized, shared, transmitted, disassembled, deconstructed into component parts or fields, categorized, or otherwise controlled or manipulated or handled in connection with a site computer 110,

which is also included as part of the network 99 of Figure 1. The site computer 110 has a Universal Resource Locator (URL). The site computer 110 includes an electronic database 112. The database 112 stores, collects, organizes, retrieves, transmits, and manipulates information relating to the health records of patients, as discussed in more detail below. In one embodiment, the site computer 110 including the database 112 are implemented by a server including a database. In other embodiments of the present invention, a plurality of servers in a distributed network, rather than just one, substitute for the site computer 110. In that embodiment, each server can be associated with a particular geographical area. For example, as shown in Figure 1A, if two servers/databases are implemented in accordance with the present invention, one server/database 110a/112a can handle the health records of patients residing in the western portion of the area over which the present invention is implemented while another server/database 110b/112b can handle the health records of patients residing in the eastern portion of the area. Of course, any number of servers and/or databases can be implemented. In accordance with another embodiment of the invention, depending on the size of the database and other factors, many servers/databases 110c . . . 110n/112c . . . 112n can be employed or dedicated to perform a given task or function or store given information, rather than or in addition to being dedicated to a geographical area, in accordance with the present invention as discussed in more detail below. The site computer 110 and the database 112 are discussed below as separate items. Of course, it will be appreciated that the discussion below includes embodiments of the present invention that integrate the server and the database into a single unit capable of performing the function of separate servers and databases.

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The site computer 110 can be accessed to create, store, and provide other functionality regarding a health record of a patient. To create a health record, a health care professional (hereinafter "professional") accesses the site computer 110 by, for example, entering the appropriate URL of the site computer 110 into a web browser run by the device 103, 105, 107, 109. For ease of discussion, the devices 103, 105, 107, 109 will be referred to as simply device 103. It will be appreciated that the discussion below of the device 103 applies equally to devices 105, 107, 109. The device 103 is any device selected by the professional that can allow the provision, receipt, and modification of information created and maintained by the site computer 110. In one embodiment of the present invention, the device 103 could be a device that is in physical proximity to the patient. In this way, the professional need not travel far or expend undue time after providing care to the patient before providing health information about the patient to the site computer 110. Because the device 103 is communicatively linked with the site computer 110, the professional can conveniently, remotely, and immediately provide critical health and status information regarding the condition of the patient. The health care professional could be any person or entity authorized or qualified to create a health record of the patient. A health care professional could include a physician, nurse, physician's assistant, nurse's aide, etc.

In addition to professionals, other persons can have access to health records in accordance with the present invention. For example, access is provided to the patient about whom the records are prepared. Furthermore, persons designated by the patient to have access to the patient's health records can have such access. Such designated persons could include, for example, family members or friends of the patient, or other health care

professionals who are not necessarily directly or exclusively providing care to the patient, yet still are interested in the condition of the patient. Such designated persons can access the records of the site computer 110 and the database 112 as a way to track, evaluate, or supervise the provision of care for a patient as well as the progress of the patient's welfare.

Upon access to the site computer 110, the site computer 110 provides a login ID web page 300 to the device 103 running a web browser application as shown in Figure 3. The login ID web page 300 is a security measure to allow only authorized persons to access the site computer 110. The login ID web page 300 includes a name field 302, a login ID field 304, and a patient identity field 306, which prompt the professional or other individual to enter in the associated field, the name of the individual, the individual's login ID, and the name of the patient whose records are to be created or accessed, respectively. The information provided by the individual is then transmitted to the site computer 110 in a conventional manner, for example, a mouse click. Upon receipt of this information, the site computer 110 checks the name and login ID of the individual against the database 112 to ensure that the individual is allowed to have access to the database. This checking by the database 112 may be performed in connection with a special database and/or server dedicated to the checking of login IDs, i.e., a login ID server and a login ID database. The database 112 includes the names and login IDs of all persons who are entitled to create or access health records of the site computer 110. Furthermore, using the patient identity information provided by the individual, the site computer 110 checks to see that, even if the individual is permitted to enter the site computer 110 and access the database 112, the individual is entitled to create or access the health records of

the particular patient identified. In another embodiment of the present invention, a password field 308 can be included in the login ID web page 300 to prompt entry of a password by the individual. The password, which could be chosen by the individual, the site computer 110, or the patient, secures access to the records. For example, the patient could obtain a password to allow access to the patient's records. The patient can provide the password to other individuals to allow access the patient's records. Of course, the login ID web page 300 could include additional fields of information required to access the records besides those explicitly described herein. In another embodiment of the present invention, the login ID web page 300 contains other information fields that allow the site computer 110 to identify the person seeking access and determine if access is allowed.

If the individual is properly identified by the site computer 110 as one authorized to create or access a health record for the patient, a menu page 400 is provided by the site computer to the device 103 as shown in Figure 4. The menu page 400 includes a create field 402, a modify field 404, a display field 406, and an organize field 410 which allow the individual to, respectively, create, modify, display, or organize health records. Selection of any of these actions is accomplished in a conventional manner by, for example, a mouse click corresponding to field of the desired action, and transmission of the desired action to the site computer 110. Based upon the login ID of the authorized individual, the site computer 110 allows the individual to perform select actions and tasks. In one embodiment of the present invention, if the login ID provided by the individual identifies the individual as a professional in charge of or supervising or providing care for the patient, the site computer 110 allows the professional to create,

modify, display, and organize the records of the patient. If the login ID provided by the individual identifies the individual as not being a professional, but rather the patient, a family member, or friend, for example, then the site computer 110 allows the individual only to display or organize records, not to create or modify them since the individual is not qualified or authorized to do so.

When the professional selects to create a record, the site computer 110 returns a record page 500 which prompts the professional to enter appropriate information about the condition of the patient as well as other kinds of information as shown in Figure 5. A patient identity field 502, ID number field 504, date of visit field 506, treatment field 508, condition field 510, observations field 512, cost field 514, professional preparer field 516, and access code number field 518 prompt the professional to enter information. As their names imply, the patient identity field 502 prompts entry of the name of the patient for whom the health record is being created; the ID number field 504 prompts entry of an ID assigned to the particular patient; the date of visit field 506 prompts entry of the date and/or time the professional visited the patient; the treatment field 508 prompts entry of information regarding the treatment being provided to the patient; the condition field 510 prompts entry of information regarding the condition of the patient; the observations field 512 prompts entry of information relating to the health or medical observation of the professional in relation to the welfare of the patient; the cost field 514 prompts entry of the charge for the visit by the professional and services rendered or products delivered and whether the charge has or has not been paid; the preparer field 516 prompts entry of the identity of the professional who is creating the record; and the access code number

field 518 prompts entry of the access code number of the preparer. The preparer can enter this prompted information using any input method associated with the device 103.

Although the embodiment illustrated in Figure 5 explicitly sets forth certain kinds of predetermined information included in a health record, it will be appreciated to those of ordinary skill in the art that additional kinds of information could also be included in the health record in accordance with the present invention. Similarly, certain kinds of information could be excluded in such a health record. It will be appreciated by those of ordinary skill in the art that the health record in accordance with the present invention includes any and all kinds of information that would be usefully or desirably documented and recorded to describe a patient's condition, treatment, amounts owed/paid for services rendered, or other information directly or indirectly related to condition and treatment. Once the record is prepared and entered by the preparer, the record is transmitted to the site computer 110 and stored in the database 112 for storage and safekeeping in accordance with conventional database application techniques. In an alternative embodiment of the present invention, the record can be sent to and stored in a special server and/or database dedicated to storing health records for patients.

It will be appreciated that the present invention provides many advantages over the prior art. For example, with respect to the home health care industry in particular, the present invention allows for the immediate creation, modification, or other kind of handling of a patient's health care records. The professional who provides care and assesses the condition of the patient can prepare health care records in the home of the patient using the device 103 in the home, or in a location adjacent to wherever the patient may be. By doing so, a contemporaneous record of the patient's condition can be made



and thus no time is wasted in potentially sharing the record to other entities interested in the patient, as is discussed in more detail below. Furthermore, a complete and accurate record can be made while the assessment or opinion of the professional is still fresh in his or her mind. This way, interested entities can timely supervise the rendering of care for the patient and stay abreast of the patient's welfare. In addition, on-the-fly access by the professional to the patient's records permits review of the patient's history and thus better subsequent assessment of and treatment for the patient.

As shown in Figure 4, the menu page 400 includes the modify record field 404 and the display record field 406. Selection by a professional or other person who is authorized to access a particular record of the display record field 406 causes a predetermined or desired record to be retrieved from the database 112, or other storage device or area, and displayed on the device 103 used by the professional or other person. Display of a particular one or group of records can be accomplished in a conventional manner by allowing the individual to identify the records desired. For example, the site computer 110 can provide a web page (not shown) that allows a the individual to identify a record by these criteria: name of patient, name of professional caring for patient, date of visit by professional, or any other information, including the information provided in the fields of the record 500. Display of the record allows access and review of the record and thus assessment or analysis of the patient's condition and treatment in connection with the displayed record. Display of a patient's records in accordance with the present invention provides significant advantages over the prior art in the oversight and care of the patient. Rather, than waiting to see documentary records that are conventionally stored in a single location only, all interested persons in the patient's condition who have

access to the records are, in accordance with the present invention, able to quickly, timely, remotely, and conveniently review the patient's condition and welfare. Because this ability allows for increased oversight of the patient's condition, the quality of the patient's care is greatly enhanced.

Selection of the modify record field 404 causes a predetermined or desired record to be retrieved from the database 112, or other storage device or area, and initially displayed on the device 103 used by the professional or other person. Display of the record allows access and review of the record and thus assessment or analysis of the patient's condition and treatment in connection with the displayed record. After display of the record, using input techniques of the device 103, the professional or other person is then free to modify the contents of the retrieved record as desired and as appropriate. For example, if information prompted by any of the fields of the record 500 is to be modified, then the information of the record can be changed as desired by the professional or other person. The record can be modified in any one of various ways. For example, assume that the cost field 514 initially indicates that a charge for service has not been paid. Assume further that later the payment is made. Accounting or other personnel for the health care provider providing care for the patient can modify the information provided in the cost field 514 to indicate that payment has been made. After modification of the record is complete, the record is again transmitted to the site computer 110 and the database 112 in a conventional manner as described in part above.

The menu page 400 also includes the organize record field 410, which in turn includes a date field 412, a professional field 414, a condition field 416, and a cost field 418. Selection of the organize record field 410 allows a desired compilation or

organization of records based upon various factors such as date of visit, identity of professional, condition of patient, and charge for care, or any other factor or combination of these or other factors. Once such a selection is made and provided to the site computer 110, the site computer 110 and/or the database 112 receives, stores, and organizes the records of patients, like the record 500 discussed above. In one embodiment of the present invention, the database 112 collects and arranges all of the records of a patient in a file history 600 as shown in Figure 6. The file history 600 of a patient illustrated in Figure 6 is a simplified logical depiction and arrangement of various records associated with a patient. The file history 600 includes a patient field 602 which identifies the patient associated with the file history 600. The file history 600 also includes a time field 604 which provides the chronological span of the records constituting the file history 600. The file history 600 includes n number of records: record 1 606, record 2 608, . . . , record n-1 610, and record n 612. In the illustrated and one of many possible embodiments of the present invention, the records are arranged in chronological order based upon the date field 412, and the information provided in the date of visit field 506 of various records.

Of course, many other techniques to arrange the records are possible. As only one of many examples, the records could be extracted and/or arranged by grouping the records according to any of the component parts, i.e., fields, identified in the record 500, in addition to the specific fields identified in the organize record field 410 of the menu page 400. It will be appreciated to those of ordinary skill in the art that the various fields of a particular record in the database 112 can be separately and logically organized among the other fields of that record as well as fields from all other records in the database 112 in

one of a variety of conventional techniques using conventional database application software. The file history 600 need not be compilations of entire records. Rather, in another embodiment of the present invention, the file history 600 can be a compilation of only one, or many, selected field(s) of various records. Thus, selection of the organize record field 410 can allow for the creation of various file histories according to myriad factors and desired fields. In one embodiment of the present invention, file histories, once compiled, are stored in the site computer 110 and the database 112 for later access. In one embodiment of the present invention a dedicated server and database are used to store and allow access to compiled files histories.

As another example, in accordance with the present invention, selection of the organize record field 410, and in particular the cost field 418, for a particular patient causes all of charge information corresponding to the cost field 514, and no other fields, of the records of the patient to be compiled in a file history. In this case, such a file history relating only to the charges for care can be provided to the patient, or the accounting department of the health care agency of the professional providing care to the patient. In allowing this simple yet innovative and powerful way to organize data, the patient can expeditiously discover financial information relating to the care of the patient. Accordingly, the patient can quickly determine the cost of treatment and monies owed to the health care provider, if any. The ease of organizing cost information for a particular patient is invaluable to a health care agency as well. It will be appreciated that one significant shortcoming of conventional accounting practices of health care providers is in the delay between the time that care is rendered and the time that the patient can be billed for the care. Such delay entails considerable expense and significant

administrative effort. One cause of such delay is because the professionals often must travel away from their health care agencies to see patients. Another cause is that professionals often work in the field and, as part of their jobs, are not routinely present at their agencies, i.e., they often do not have to go into work. As a result, contact between the professionals and their agencies is sometimes infrequent.

Conventional techniques involve waiting for the professional to provide the accounting department, or billing personnel, of the health care provider the necessary billing information to invoice the patient, which can require undue time and effort and, thus, significant expense. In contrast, the method and system of the present invention allows the health care provider to not require direct, personal contact between the professional and the accounting department of the health care provider before the bill to the patient is sent out. The accounting department can simply selectively access the records of the patient by the device 103 and organize them according to the cost field 514 to obtain any necessary information. In fact, in accordance with another embodiment of the present invention, the accounting department of a health care agency can prompt the professional to provide in the record 500 all information necessary for the preparation of the bill to the patient. Because the professional can and likely will enter the record of the patient regularly and soon after a patient visit, the compilation of cost information from records is an effective way to facilitate preparation of bills and invoices and, accordingly, significantly improve the cash flow of health care agencies. The present invention's obviation of direct or regular contact between professional and agency is useful for many reasons and in many situations including, for example, when the professional is often

traveling or in the field or otherwise not predisposed to having frequent contact and communication with the professional's agency.

To ensure the privacy of the patient and limited access to the records of the patient, in one embodiment of the present invention, persons seeking records are allowed only to access and organize the selective portions of a patient's records, as shown in flow logic 700 of Figure 7. At a step 702, the logic begins. The logic proceeds to a step 704 where the site computer 110 considers the provided login ID and name of the person seeking records access. Of course, other kinds of identification information could be provided to the site computer. The logic proceeds to a step 706 where the identity of the person is compared against one or more authorization databases. Each authorization database is programmable and lists names of persons entitled to access portions or entireties of the patient's records and also identifies which portions of the records are accessible by the person. Such comparison can be implemented by a recognition function under conventional techniques of the site computer 110 and the database 112, or as mentioned above, servers and/or databases dedicated to the task of determining which records of patient a person is allowed to access. The logic proceeds to a decision step 708 where the logic determines if the person is identified in an authorization database. If the result of decision step 708 is affirmative, the logic 700 proceeds to decision step 710 where the logic determines if the authorization database requires the person to have limited access to the records of the patient. If the result of decision step 710 is affirmative, the logic proceeds to a step 712 where the person is restricted in accordance with the authorization database to only portions of the patient's records. Other portions of the records are not accessible by that person. The logic proceeds to a step 714 where the logic ends. If the

result of the decision step 710 is negative, the logic proceeds to a step 715 where the person has unrestricted access to the records and the logic proceeds to step 716 where the logic ends. If the result of decision step 708 is negative, the person is not authorized to have any access to records, and the logic proceeds to a step 718 where the logic prevents the person from accessing the records. The logic proceeds from the step 718 to step 720 where the logic ends.

For example, in accordance with one embodiment of the flow logic 700 of the present invention, an authorization database is provided and/or programmed for storing information regarding accounting personnel who are allowed only to access the financial records or component portions of records relating to financial information of a patient. Such an authorization database includes the name and login ID of all accounting personnel who are entitled to access such records, or portions or fields thereof relating to financial information, for a particular patient. In addition, the authorization database restricts access by the listed accounting personnel to any information in the records beyond the financial information. Thus, the site computer and the database determine whether the person seeking access is entitled to any access and, if so, to what extent. If the person is accounting personnel, then the site computer and database allow the person only to access the financial records of the patient. Thus, only cost information associated with the cost field 514, or other information associated with other fields relating to financial topics, of a record can be accessed.

As another example, in accordance with another embodiment of the flow logic 700 of the present invention, an authorization database is provided for storing information regarding friends and family selected by a patient to have access to the patient's records.

Assume that the patient suffers from two conditions. The patient may choose to allow one group of persons to have access to records relating to care and treatment for one condition only. Likewise, the patient may choose to allow another group of persons to have access to records relating to care and treatment for the other condition only. Thus, the authorization database can be programmed to allow selective access to the patient's records depending on the person seeking access and depending on the condition discussed for particular records. In this regard, the authorization database could be programmed to separately store and identify all the persons allowed to access records pertaining to the care and treatment of one condition and separately identify all the person allowed to access records pertaining to the care and treatment of another condition. Furthermore, all of the records of the patient can be organized according to the information provided in the condition field 510 to associate each record with a given condition. Such organization can then be reflected in the authorization database to accomplish the patient's desire to allow selective access based upon the parameters of persons seeking access and particular condition of the patient.

Other embodiments are possible. The flow logic 700 could be implemented to allow access to a patient's record only if the record did not relate to a predetermined condition of the patient. The flow logic 700 could be alternatively implemented to allow access only to records documenting costs less than or more than or in a range between predetermined amounts. As another example, the flow logic 700 can be implemented to deny access to all records indicating that the condition of the patient is poor while allowing access to all other records of the patient. It will be appreciated by those of ordinary skill in the art that the authorization database as implemented by the site



computer and database can be programmed to allow partial or selective access to a patient's records based on many predetermined parameters, including the information provided in the fields 502-518, in addition to the examples described above.

Figure 8 illustrates flow logic 800 for automatically providing alerts based upon a patient's records. The logic begins at a step 802 and proceeds to a block 804 where the site computer 110 is programmed to identify a threshold or predetermined event as documented in a record and respond upon such identification. The logic proceeds to a step 806 where the record is received by the site computer 110. The logic proceeds to a decision step 808 where the record is analyzed and the logic determines if the threshold event has occurred. If the result of the decision step 808 is affirmative, the logic proceeds to a step 810 where a predetermined action is taken. In one embodiment of the present invention, the predetermined action includes contacting certain individuals, entities, or institutions that may be interested in knowing about the occurrence of the threshold event. The logic proceeds from step 810 to a step 812 where the logic ends. If the result of the decision step 808 is negative, the logic proceeds to a step 814 where the logic ends.

The flow logic 800 can be implemented for various purposes and applications. In one embodiment of the present invention, the flow logic 800 is implemented by and in the site computer 110 and/or the database 112 to provide an emergency notification about the condition of a particular patient to various interested individuals. For example, the site computer 110 or database 112 can be programmed initially to look for any entries in records for a particular patient that relate to a given threshold event. Further, the database 112 can be programmed to include information about which persons should be notified upon occurrence of the threshold event, including the identity and contact

information for each person. Assume that the site computer 110 is programmed to look for any records that relate the condition of the patient as being critical or worse. The site computer 110 can be programmed to specifically find this kind of information in a record in the condition field 510. In one embodiment of the present invention, the information provided in the condition field 510 includes keywords, such as "stable", "poor", "critical", "terminal", etc., that can be readily recognizable by the site computer 110 through conventional programming techniques. Of course, other ways for the site computer to determine the condition of the patient are possible. For example, the individual who created the record can be prompted by the site computer 110 through the record page 500 to enter a number from a numerical range corresponding to the condition of the patient with, for example, "1" corresponding to excellent health and "10" corresponding to terminally ill. The site computer can interpret these numbers to assess the condition of the patient. The site computer 110 is programmed initially to look for all records indicating that the condition of the patient is poor, for example, corresponding to a numerical range associated with a poor condition (e.g., between "7" and "10") or, for example, corresponding to keywords such as "poor", "critical", etc.

Upon detection of records indicating that the threshold event has occurred, the site computer 110 determines from its appropriately programmed database which persons should be contacted. These persons could include medical health professionals who may desire to treat the patient or provide specialized care, family members of the patient, or other persons interested in the condition of the patient. The determination causes the site computer 110 to automatically initiate contact with or notify these persons. This notification can occur in various ways. The site computer can transmit a notice to the

interested person using any one of many different conventional techniques, including, for example, electronic mail notification. Alternatively, the site computer can transmit a notice to health care personnel or others who then, in turn, contact each interested person personally, telephonically, electronically, or otherwise.

Figure 9 illustrates flow logic 900 of a technique in accordance with the present invention to notify accounting personnel of a health care provider regarding current balance information for a patient receiving care from the health care provider. The logic begins at a step 902 and proceeds to a step 906 where the site computer collects account balance information for a particular patient by collecting the information provided in the cost field 514 of the patient's records. The logic proceeds to a step 908 where the account balance is compared with a predetermined threshold amount. The logic proceeds to a decision step 910 to determine if the account balance has met the predetermined threshold amount. If the result of decision step 910 is affirmative, the logic proceeds to a step 912 where the patient is automatically notified to make additional payments. The notification can be made using any one of many conventional techniques as discussed above. The logic proceeds from step 912 to step 914 where the logic ends. If the result of decision step 910 is negative, the logic proceeds to a step 916 where the logic ends.

The flow logic 900 can be implemented for various purposes in accordance with the present invention. For example, in one embodiment of the present invention, the accounting department of a health care provider uses the flow logic 900 to invoice the patient for additional payments. The accounting department periodically and/or automatically uses the site computer and the database to organize and collect the financial information relating to the care of the patient from the patient's records. If the amount of

money owed to the health care agency, the account balance of the patient, exceeds a threshold amount, the accounting department may choose to notify the patient to provide additional payments using any one of many conventional notification techniques discussed above. Alternatively, the flow logic 900 can be used to selectively invoice the patient for services rendered. For example, assume a health care provider chooses not to invoice patients until a certain account balance is attained. This choice may be made as a matter of business policy, administrative convenience, or the patient's preference, or other reason. After accumulating financial information from, for example, the cost field 514 of a patient's records, the accounting department of the health care provider can withhold sending the bill until the costs of services rendered reaches the threshold amount. It will be appreciated that the flow logic 900 can be implemented in various other ways in other embodiments apart from those explicitly described herein.

Figure 10 illustrates flow logic 1000 for selectively and automatically providing a patient's records to interested persons. In this way, interested persons can be automatically and routinely apprised of the patient's condition and status. At a step 1002 the logic begins and proceeds to a step 1004 where site computer 110 and database 112 initially receive information relating to the identity of and contact information for persons who are designated to receive records, or portions thereof, of a patient. This information, like other kinds of information provided to the site computer and database, can be programmed in any conventional way. The logic proceeds to a step 1006 where the site computer and database receive records created by professional personnel who care for the patient. The logic proceeds to a step 1008 where, upon receiving each record, the site computer 110 and the database 112 identify the persons, if any, designated to receive the

record. The logic proceeds to a step 1010 where the record is transmitted or otherwise communicated to the designated persons. In one embodiment of the present invention, the transmission or communication of the record, or portion thereof, can involve providing the record as an electronic message in the form of an email sent to the address provided as part of the designated person's contact information. Of course, other transmission techniques are possible. For example, the records could be sent using postal mail or by facsimile. The logic proceeds to step 1012 where the logic ends. Instead of sending an actual record or portion thereof to interested persons, in another embodiment of the present invention, during the step 1010 only a notice is sent to interested persons. The notice would indicate the creation of a new record, identify the record, and invite the interested persons to access the site computer 110 to access and review the record.

While the preferred embodiment, and alternative embodiments, have been illustrated and described, it will be appreciated that various changes can be made therein without departing from the spirit and scope of the invention. For example, the web pages illustrated in the Figures are simplified depictions. The Figures discussed above in connection with the present invention include preferred exemplary depictions of web pages in accordance with the present invention. It will be appreciated that web page(s) other than those specifically illustrated can be designed and implemented in accordance with the present invention. The depicted web pages can contain any mixture of text, graphic imagery, pictures, sounds, motion picture information, links, and advertising notwithstanding any basic, exemplary depiction thereof in all of the Figures discussed in connection with the present invention.

It will also be appreciated that, although the present invention preferably includes the use of the Internet, which is often accessed in English, the present invention need not be implemented in English exclusively. Other languages appearing on the web pages could be used depending on many factors including, for example, the linguistic abilities of targeted users, or the language capabilities of the potential users situated in the geographical region over which the present invention is implemented, or both. Of course, the present invention could be implemented using a selective combination of different languages such as Chinese, Hindi, German, Spanish, etc.

Figure 5 is a record page 500 having fields that prompt a preparer of the record to provide certain information. It will be appreciated that the record page 500 can prompt the preparer to input additional kinds of information other than those specifically set forth herein. Likewise, the record page 500 can be designed not to prompt certain kinds of information discussed above. The record page 500 can be designed to include fields to prompt the provision of desired information for any particular need or purpose based on various factors relating to, for example, medical condition, financial status, location, medical profile, age of patient, and any and all other medically information pertinent to the health and welfare of the patient. In accordance with conventional database software applications, the records page 500, when completed by the preparer and transmitted to the site computer 110 is converted to an electronic record. The electronic record can be stored, modified, organized, deconstructed into component fields, compiled and otherwise manipulated in accordance with conventional capabilities of electronic databases and related technologies.

The present invention contemplates programming the site computer 110 and database 112 with various information. For example, information relating to passwords, persons entitled to access records, threshold events triggering notifications or requests, persons receiving notifications, etc. are programmed. It will be appreciated that the programming of the site computer(s) and the database(s) can be performed locally at the location of the site computer(s) and the database(s) or remotely at, for example, devices 103, 105, 107, 109 that are in communication with the site computer(s) and databases(s). The technique of programming can be performed in any conventional manner.

Furthermore, a portion of the discussion above relates specifically to the home health care industry. It will be appreciated that the present invention applies equally to other health care contexts. For example, the communication device can be used by a health or mental care professional rendering care for a patient at a location apart from the patient's home, for example, a medical clinic, hospital, care facility, or other place where care is offered. In that case, the device 103 can be used at or near the place where the care is rendered to manipulate health care records for the patient in the various ways described above.

Consequently, within the scope of the appended claims, it will be appreciated that the present invention can be practiced otherwise than as specifically described herein.

**I claim:**

1. A method for managing information over a remote communications network including a communication device and a site computer having a database comprising:
  - configuring the database to maintain the information as records;
  - providing a communications link between the site computer at a first location with the communication device at a second location remote from the first location; and
  - allowing manipulation of the records through inputs to the communication device.
2. The method of Claim 1 further comprising using the communication device to create, modify, display, or initiate organization of particular records.
3. The method of Claim 1 further comprising:
  - creating a particular record by prompting provision of desired information associated with various fields into the communication device;
  - providing the particular record to the site computer; and
  - storing the particular record in the database.
4. The method of Claim 1 further comprising:
  - deconstructing the records into information associated with component fields of the records; and
  - organizing the records according to the information associated with the component fields.
5. The method of Claim 1 further comprising:
  - defining a threshold event;
  - programming the site computer or the database with the threshold event;
  - analyzing the records capable of having information regarding satisfaction of the threshold event;
  - providing predetermined notifications upon satisfaction of the threshold event.
6. The method of Claim 1 further comprising:
  - identifying a person interested in a particular record;
  - programming the site computer or the database with information regarding the interested person and the particular record;
  - determining if the particular record has been received by the site computer; and
  - automatically transmitting the particular record to the interested person when the particular record has been received by the site computer.
7. A method for handling health care records of a patient over a communications network, the patient receiving care from a health care provider, the method comprising:



using a communication device linked to a site computer having a database for storing information; and

providing a command to the site computer;

manipulating the health care records in response to the command; and

enabling the database to store at least a portion of the health care records.

8. The method of Claim 7 wherein said providing a command includes providing select inputs to a web page provided by the site computer to the communication device, the method further comprising transmitting the inputs to the site computer.

9. The method of Claim 7 wherein said manipulating the health care records includes performing a function selected from the group consisting of creating, displaying, modifying, and organizing the health care records, or any combination thereof.

10. The method of Claim 7 further comprising categorizing the information of each of the health care records into various component fields.

11. The method of Claim 7 wherein said manipulating the health care records includes:

deconstructing each of the health care records into information associated with component fields; and

organizing the health care records according to the information of the component fields.

12. The method of Claim 11 further comprising categorizing the information of the component fields into topics selected from the group consisting of the identity of the health care provider, condition of the patient, charge for providing care, date of record creation, and date of visit by health care provider, or any combination thereof.

13. The method of Claim 7 wherein the patient is at a particular location, the method further comprising:

assessing the condition of the patient at the particular location;

providing the communication device adjacent the particular location; and

creating a particular health care record based on the assessment of the condition of the patient using the communication device while the communication device is adjacent the particular location.

14. The method of Claim 7 further comprising:

defining a threshold event relating to the patient;

programming the site computer or the database with the threshold event;

analyzing the health care records of the patient to determine if the threshold event has occurred; and

using the site computer and the database to automatically provide a predetermined notification to interested entities upon occurrence of the threshold event.

15. The method of Claim 14 wherein the threshold event relates to amounts owed by the patient to the health care provider and wherein the predetermined notification includes a request to make payments to the health care provider.

16. The method of Claim 7 further comprising:

identifying an entity interested in a particular health care record;  
programming the site computer or the database with information regarding the interested entity and the particular health care record;  
determining if the particular health care record has been received by the site computer; and  
automatically transmitting a message to the interested entity when the particular health care record has been received by the site computer.

17. The method of Claim 16 wherein the message includes at least a portion of the particular health care record,

18. The method of Claim 7 wherein the communication network is the Internet.

19. A system for handling health care records over a wide area communications network comprising:

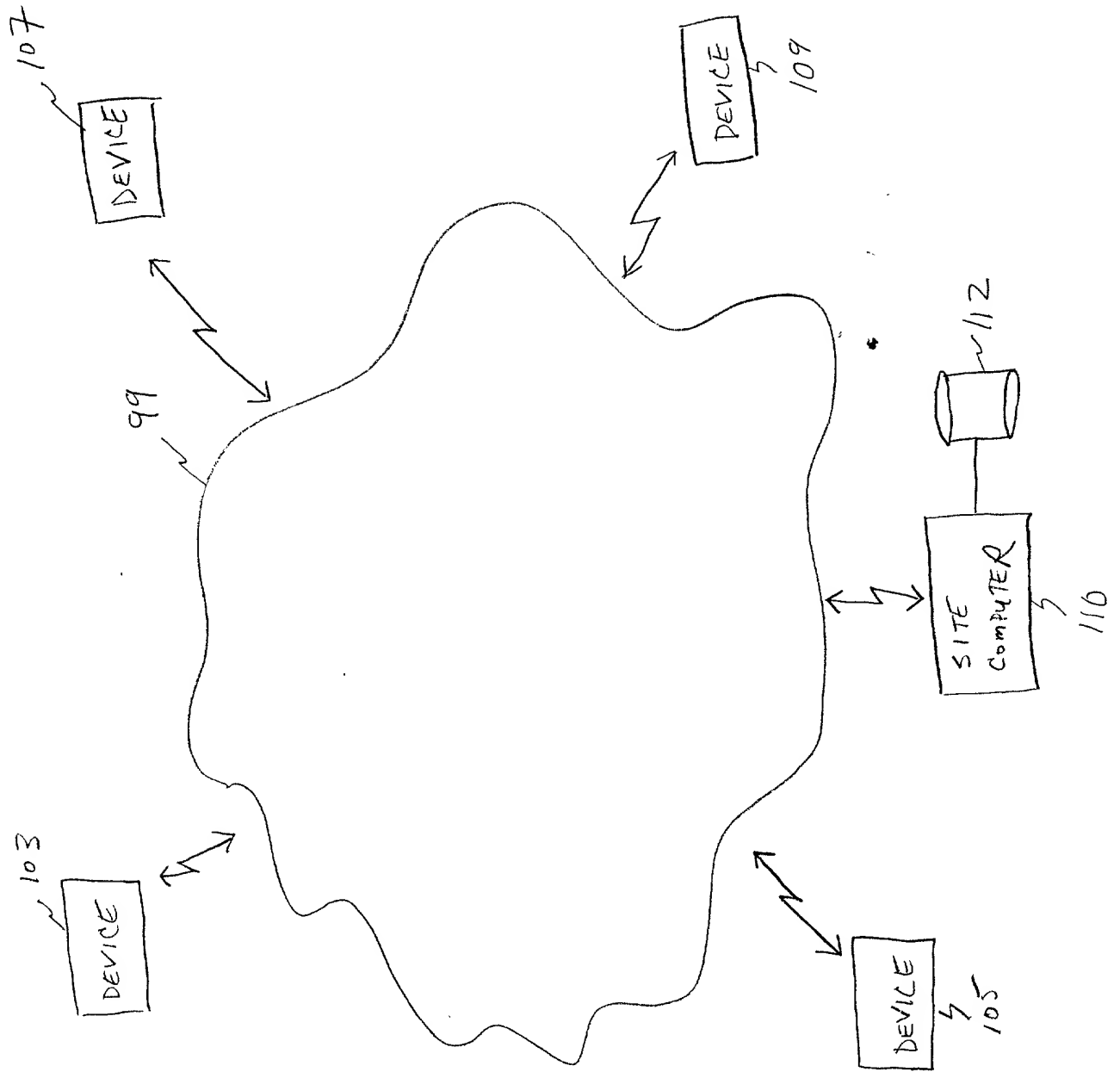
a site computer having a database for managing the health care records; and  
a communication device, coupled to the site computer and the database, for receiving commands which are provided to the site computer and the database to perform a function selected from the group consisting of creating, displaying, modifying, and organizing the health care records, or any combination thereof.

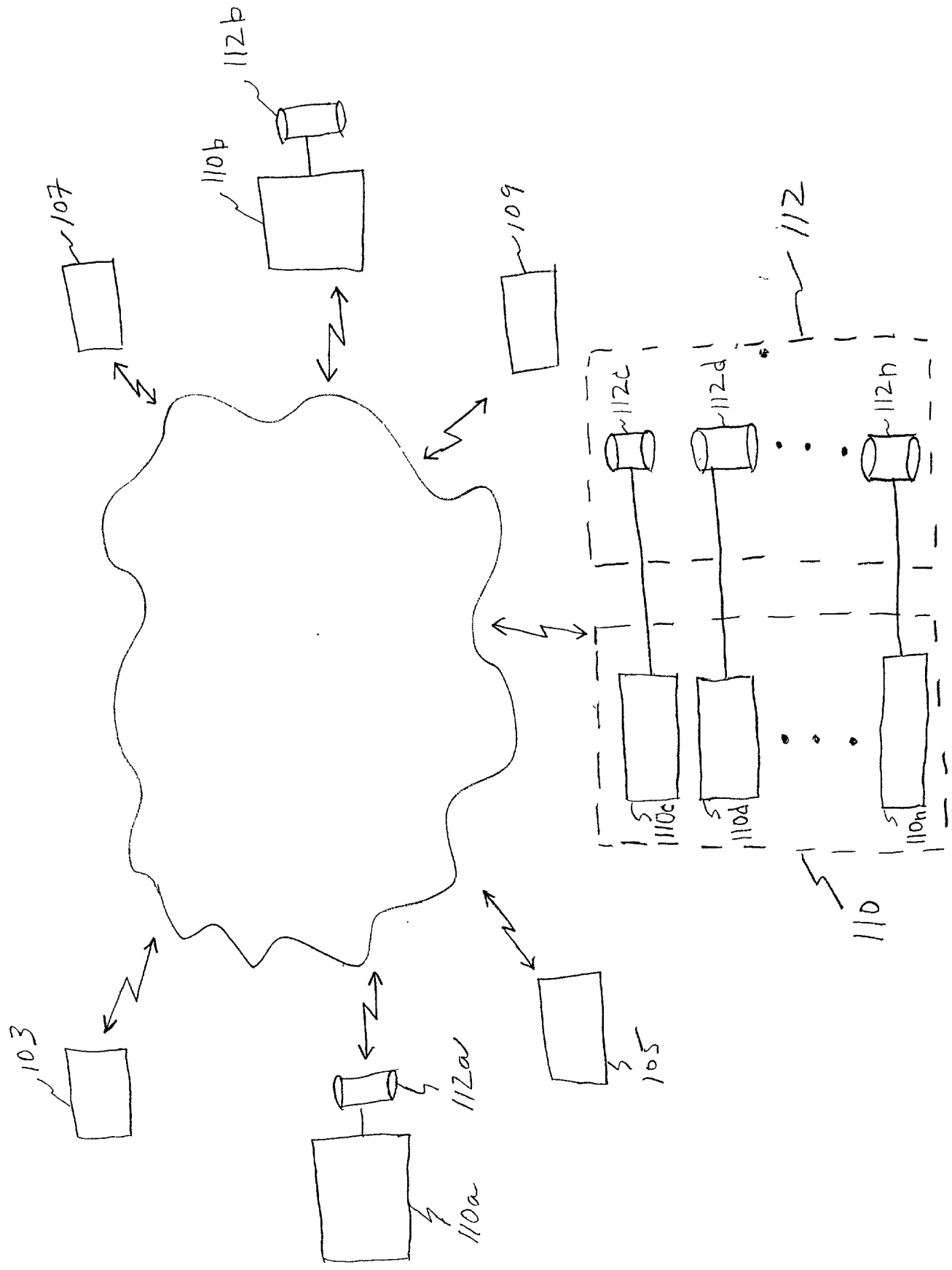
20. The system of Claim 19 wherein the site computer and the database are programmed to identify predetermined information in the health care records and to automatically transmit messages to interested persons upon receipt of the health care records having the predetermined information.

# SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE RECORDS MANIPULATION

## ABSTRACT OF THE DISCLOSURE

A site computer includes a database for manipulating and handling health care records. A communication device, coupled to the site computer and the database, receives commands, which are provided to the site computer and the database to perform various functions, including creating, displaying, modifying, and initiating organization of the health care records. The health records can be automatically transmitted to interested persons upon creation of the health records. Upon detection of the occurrence of threshold events as indicated in the health records, the site computer automatically transmits notifications to interested persons.





005720-2600560

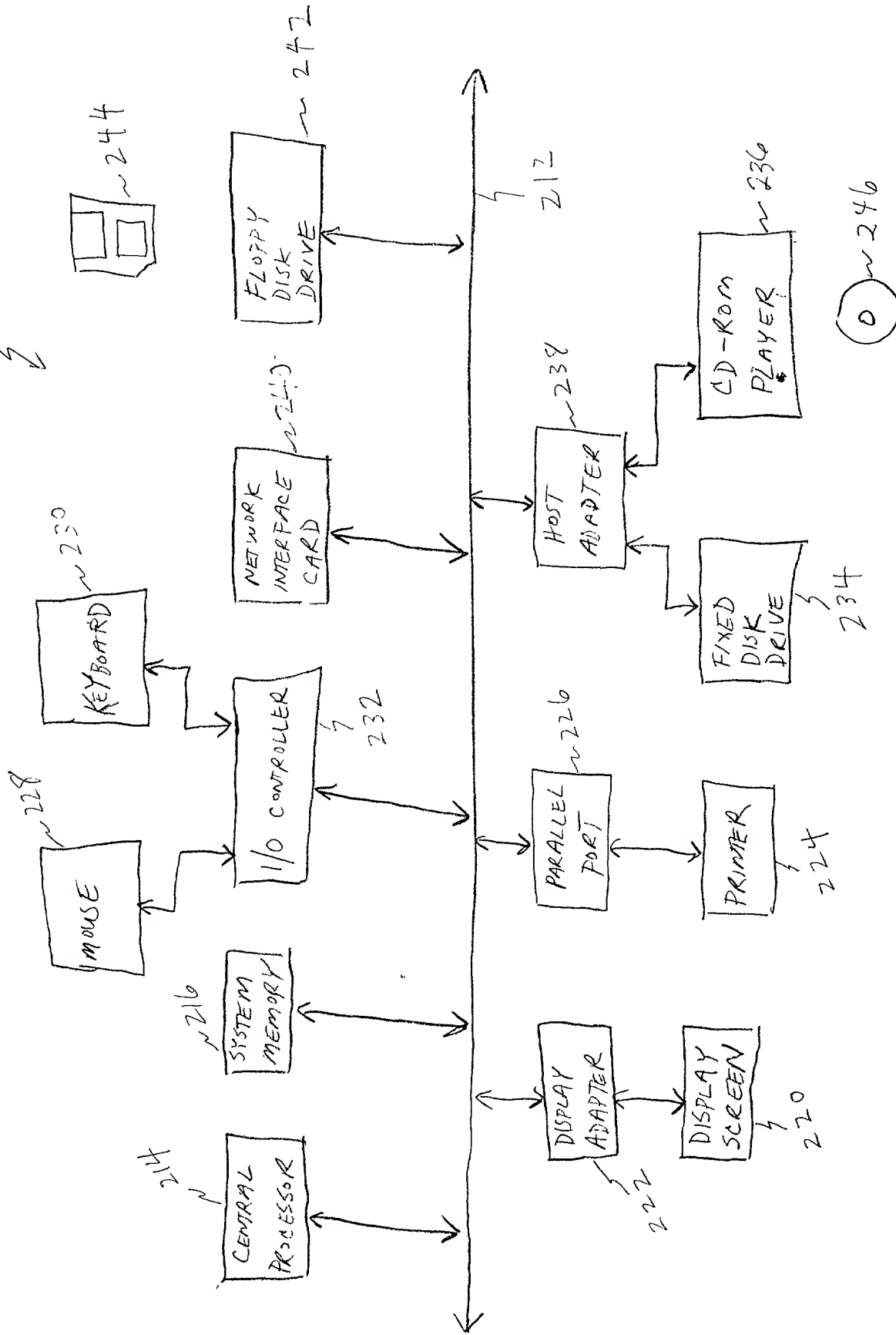


FIG 2

300

PLEASE ENTER NAME: 302

PLEASE ENTER LOGIN ID: 304

PLEASE ENTER PATIENT IDENTITY: 306

⋮

PLEASE ENTER PASSWORD: 308

PLEASE ENTER PATIENT IDENTITY: 306

• • •

PLEASE ENTER PASSWORD: 308

FIG 3

400

402

CREATE RECORD ☐

404

MODIFY RECORD ☐

406

DISPLAY RECORD ☐

ORGANIZE RECORD BY: ☒ 410

DATE ☐ ~ 412

PROFESSIONAL ☐ ~ 414

CONDITION ☐ ~ 416

COST ☐ ~ 418

•

•

•

FIG 4



005003-04500

500

PATIENT ID:  ~502

ID NUMBER:  ~504

DATE OF VISIT:  ~506

TREATMENT:  ~508

CONDITION:  ~510

OBSERVATIONS:  ~512

COSTS:  ~514

PREPARER/PROFESSIONAL:  ~516

ACCESS CODE NUMBER:  ~518

FIG 5

PATIENT: MARY REEVES ~602

RECORDS FROM: MARCH 3, 1987 ~604

TO: SEPTEMBER 20, 2014

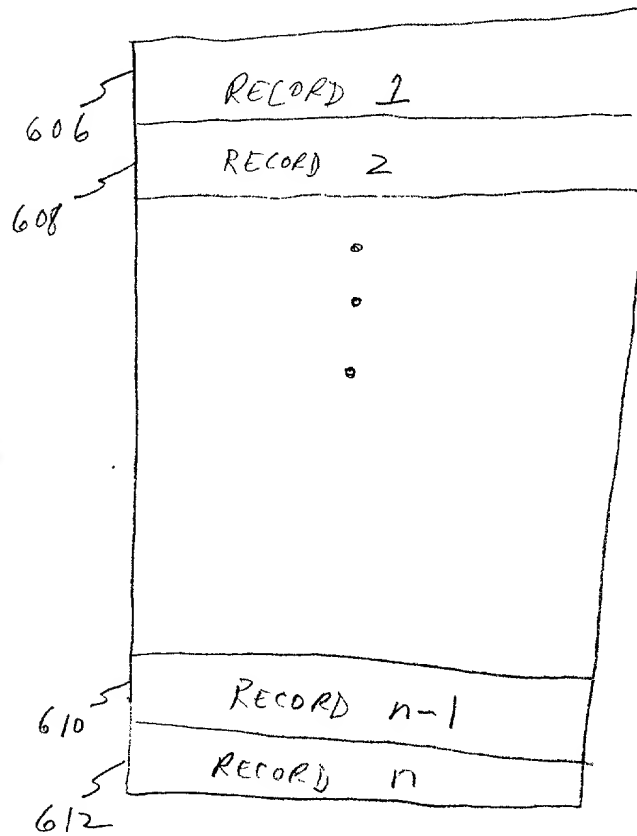


FIG 6

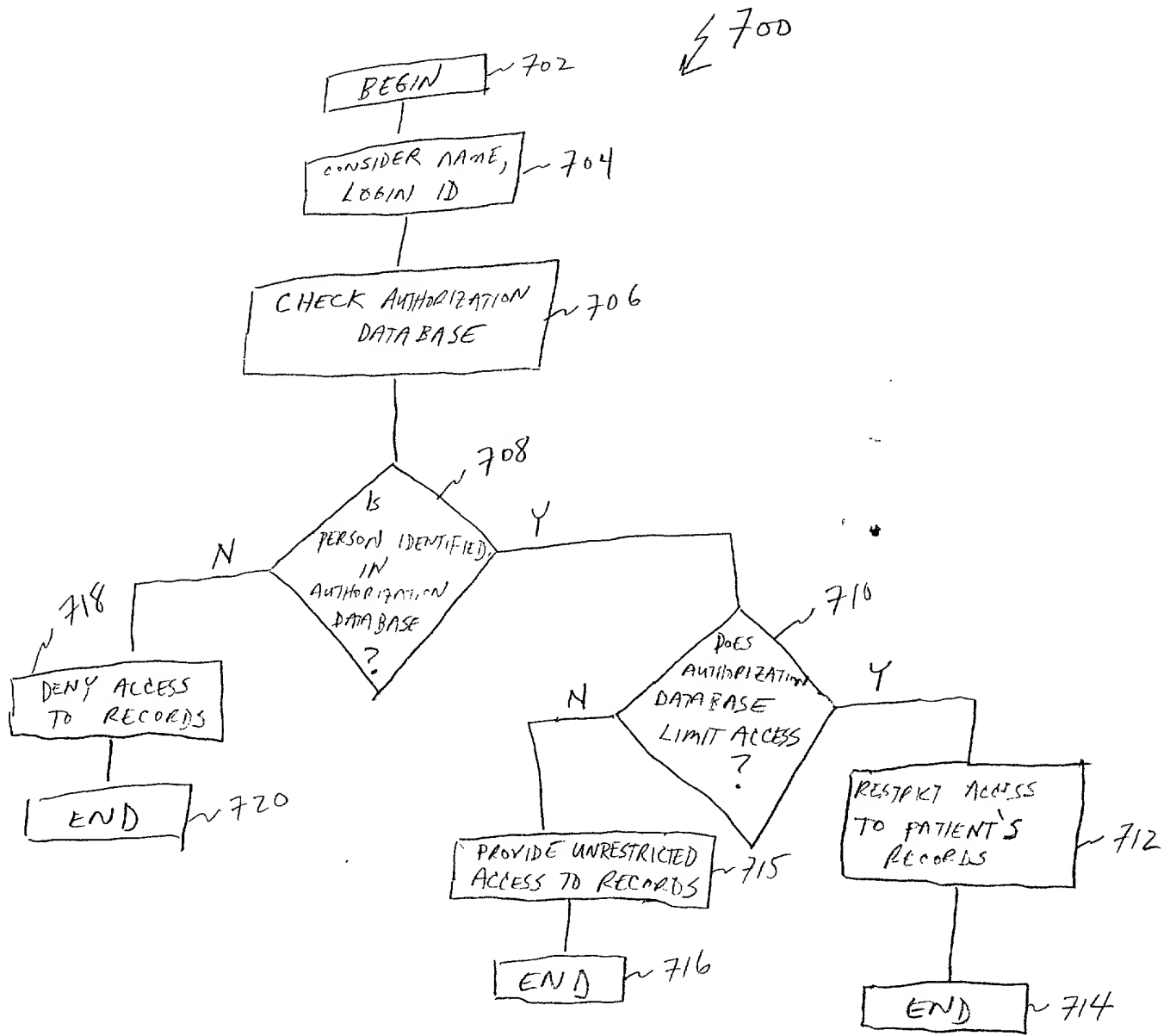


FIG 7

005000977-004500

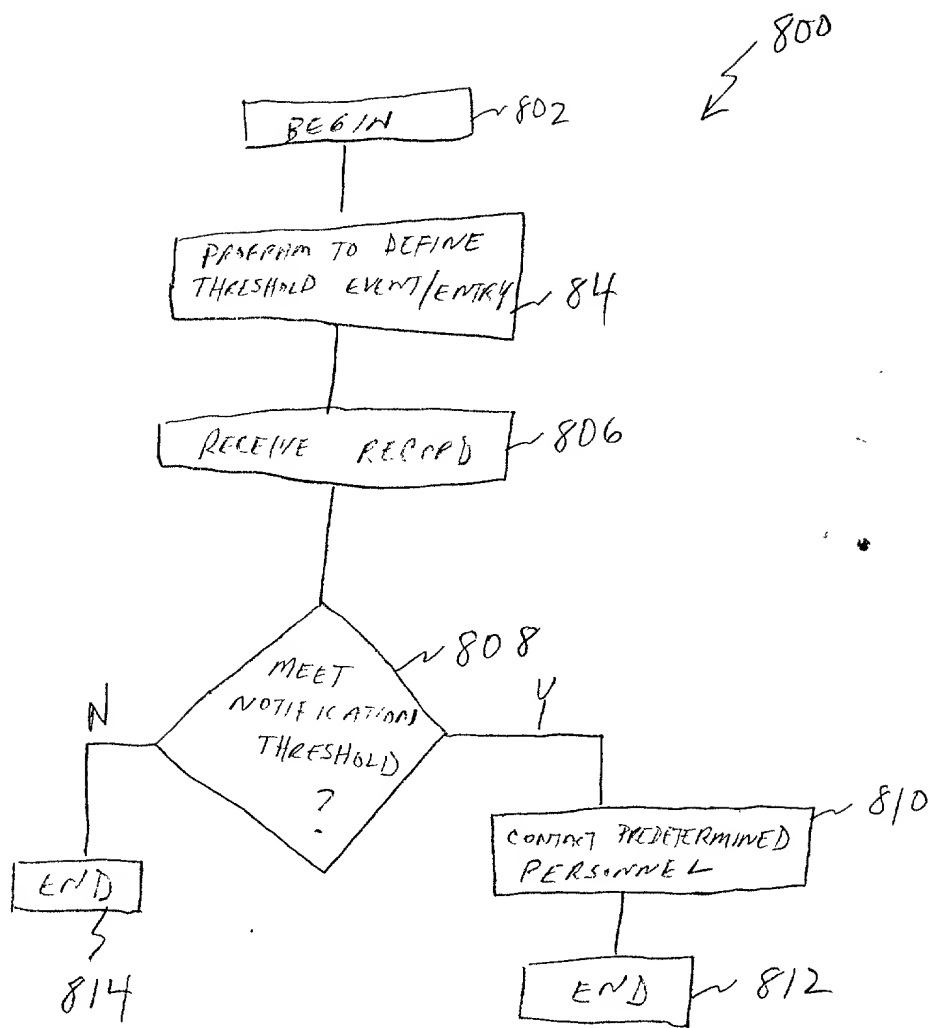


FIG 8

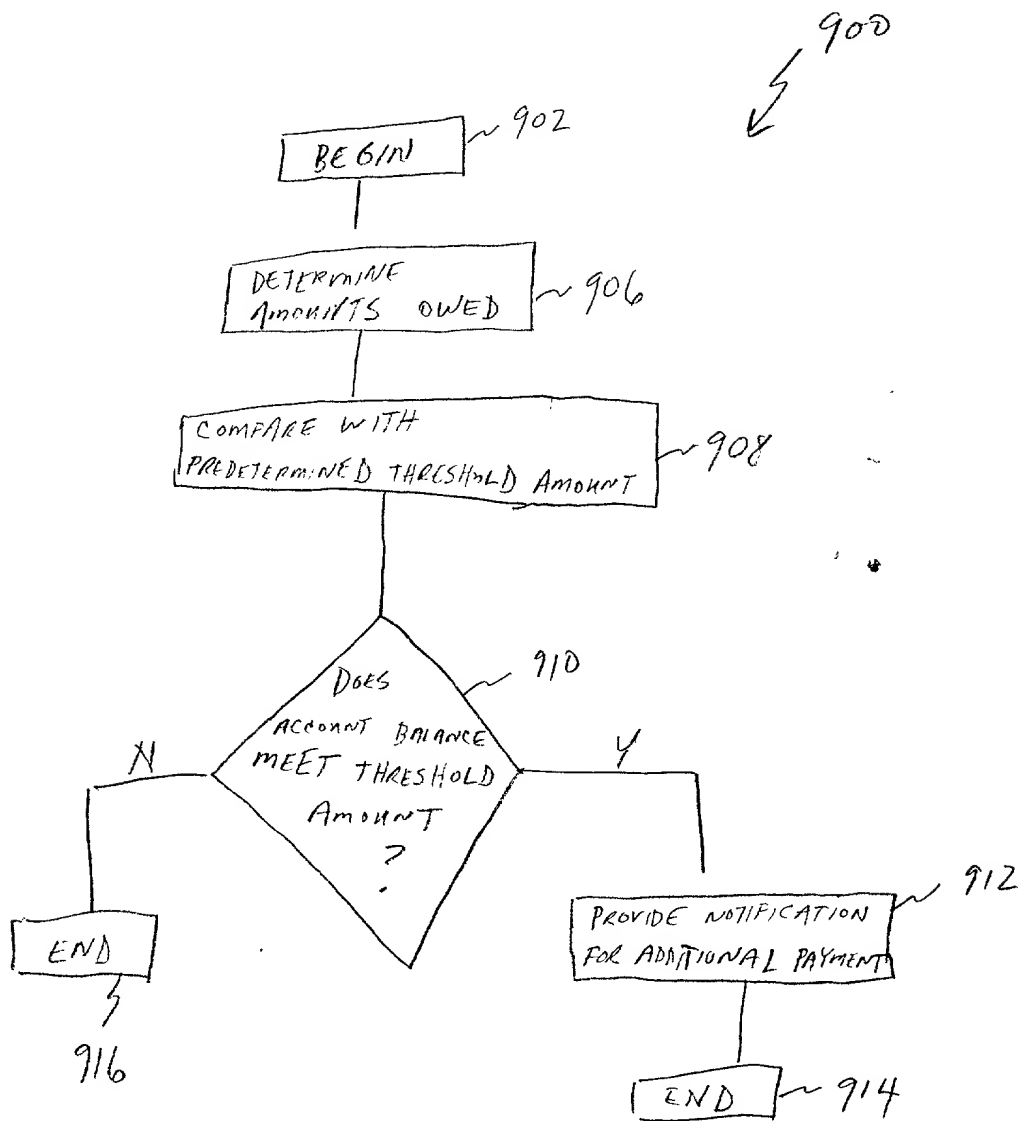


FIG 9

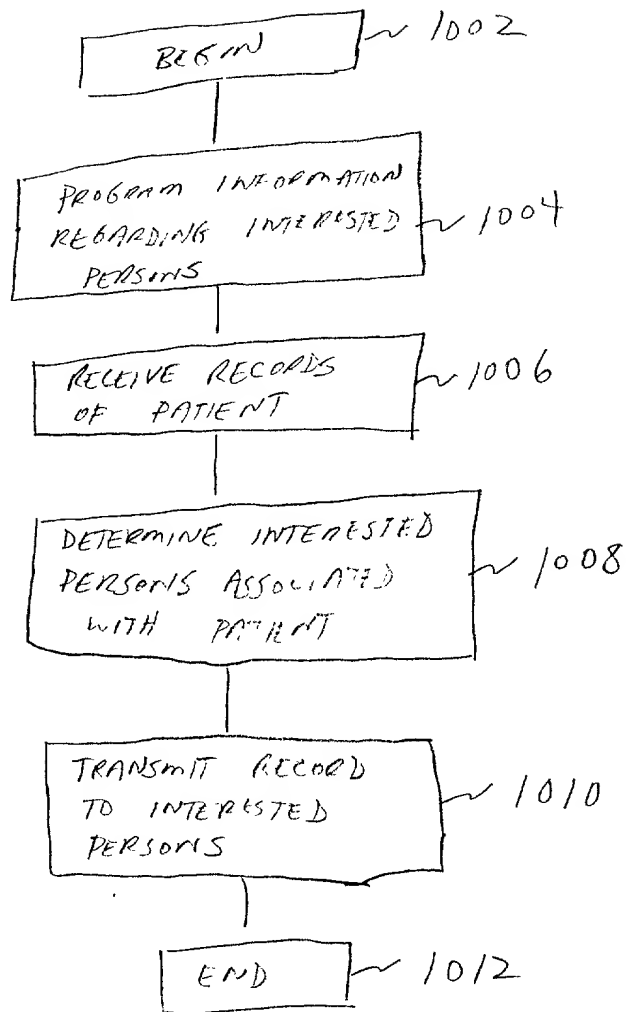


FIG 10

## DECLARATION

As a below named inventor, I declare that:

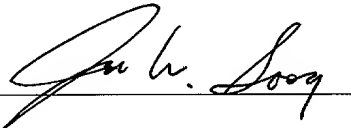
My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHOD FOR SECURE ON THE FLY HOME HEALTH CARE RECORDS MANIPULATION the specification of which is attached hereto. I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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			Postal Code: <b>90277</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor:



Date: 2/6/00